

09-24-04

Page 1 of 1

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/741,794	12/19/2003	Leslie Leah Anderson	Anderson, et al

CONFIRMATION NO. 5579

## FORMALITIES LETTER



\*OC000000012256112\*

JANET I. STOCKHAUSEN  
USDA FOREST SERVICE  
ONE GIFFORD PINCHOT DRIVE  
MADISON, WI 53726-2398

Date Mailed: 04/01/2004

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 2,3,4,5,6,7,8.

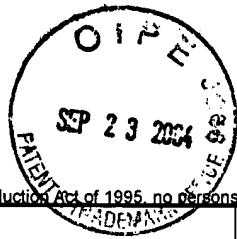
Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	Application Number	10/741,794
	Filing Date	Sept. 19, 2003
	First Named Inventor	Anderson
	Art Unit	—
	Examiner Name	—
	Attorney Docket Number	—

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please Identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	—		
Signature	Janet I. Stockhausen		
Printed name	Janet I. Stockhausen		
Date	Sept. 23, 2004	Reg. No.	34,256

Expt.

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	Janet I. Stockhausen
Typed or printed name	Janet I. Stockhausen
Date	Sept. 23, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	10/741,794
Filing Date	Dec. 19, 2003
First Named Inventor	Anderson
Examiner Name	—
Art Unit	—
Attorney Docket No.	—

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	06-1555
Deposit Account Name	USDA Forest Service

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001	2001	770	385	Utility filing fee	—
1002	2002	340	170	Design filing fee	—
1003	2003	530	265	Plant filing fee	—
1004	2004	770	385	Reissue filing fee	—
1005	2005	160	80	Provisional filing fee	—
SUBTOTAL (1) (\$)				—	1480

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
—	—	—	—	—	—
—	—	—	—	—	—
—	—	—	—	—	—

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1202	2202	18	9	Claims in excess of 20	—
1201	2201	86	43	Independent claims in excess of 3	—
1203	2203	290	145	Multiple dependent claim, if not paid	—
1204	2204	86	43	** Reissue independent claims over original patent	—
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent	—
SUBTOTAL (2) (\$)				—	1480

\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	—
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	—
1053	130	1053	130	Non-English specification	—
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	—
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	—
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	—
1251	110	2251	55	Extension for reply within first month	—
1252	420	2252	210	Extension for reply within second month	—
1253	950	2253	475	Extension for reply within third month	—
1254	1,480	2254	740	Extension for reply within fourth month	—
1255	2,010	2255	1,005	Extension for reply within fifth month	—
1401	330	2401	165	Notice of Appeal	—
1402	330	2402	165	Filing a brief in support of an appeal	—
1403	290	2403	145	Request for oral hearing	—
1451	1,510	1451	1,510	Petition to institute a public use proceeding	—
1452	110	2452	55	Petition to revive - unavoidable	—
1453	1,330	2453	665	Petition to revive - unintentional	—
1501	1,330	2501	665	Utility issue fee (or reissue)	—
1502	480	2502	240	Design issue fee	—
1503	640	2503	320	Plant issue fee	—
1460	130	1460	130	Petitions to the Commissioner	—
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	—
1806	180	1806	180	Submission of Information Disclosure Stmt	—
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	—
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	—
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	—
1801	770	2801	385	Request for Continued Examination (RCE)	—
1802	900	1802	900	Request for expedited examination of a design application	—
Other fee (specify) _____					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

1480

(Complete if applicable)

## SUBMITTED BY

Name (Print/Type)	Janet I. Stockhausen	Registration No. (Attorney/Agent)	34,256	Telephone	608-231-9502	
Signature	Janet I. Stockhausen				Date	Sept. 23, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.